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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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JUL 09 2002

GROUP 3600

PATENT APPLICATION

Applicants : Carlton Bartels et al.

Application No.: 09/967,272 Confirmation No.: 6788

Filed : September 28, 2001

For : ELECTRONIC TRADING SYSTEM FOR SIMULATING
THE TRADING OF CARBON DIOXIDE EQUIVALENT
EMISSION REDUCTIONS AND METHODS OF USE

Group Art Unit : 2164

Examiner : Not yet assigned

New York, New York 10020
June 27, 2002

Hon. Commissioner for Patents
P.O. Box 2327
Arlington, Virginia 22202

TRANSMITTAL LETTER FOR
INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information Disclosure
Statement in the above-identified application. This
Statement is submitted:

- ☐ within three months of the application filing date;
- ☒ more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of
this Statement requires no fee. However, if for any reason
a fee is due, the Director is hereby authorized to charge

payment of any fees required in connection with this
Information Disclosure Statement to Deposit Account
No. 06-1075. A duplicate copy of this letter is transmitted
herewith.

Respectfully submitted,



Laura A. Sheridan

Laura A. Sheridan
Registration No. 48,446
Agent for Applicants
FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020-1105
Tel.: (212) 596-9000
Fax : (212) 596-9090

I hereby certify that this
correspondence is being
deposited with the U.S.
Postal Service as First
Class Mail in an envelope
addressed to:
Commissioner for Patents, P.O. Box 2327
ARLINGTON, VA 22202 on

June 27, 2002

William Bailey

Name of person signing

W. Bailey

Signature of person signing